

APPENDIX E: LOGICAL FRAMEWORK – PROGRESS REPORT

THEME 1: SECTOR ORIENTATION / KFA 11: WS FOR ECONOMIC AND SOCIAL DELIVERY

Financial Year 2001/02

	Intervention 2001/02	Objectively Verifiable Indicators	Progress Achieved
1.	<p>Green Paper developed and consulted, roles and responsibilities of role players clarified and strategy developed for sector policy review.</p> <p>Sanitation policy finalised and national strategy further developed and disseminated.</p>	<ul style="list-style-type: none"> • Green Paper disseminated for iLIMut and discussion and policy review strategy developed. • Policy and national sanitation strategy adopted. 	
2.	<p>Sector Collaboration strengthened, to ensure coordination and management of the water services business and services orientation widely understood and applied by the sector role-players.</p>	<ul style="list-style-type: none"> • Collaboration mechanisms, such as National Sector Coordination Committee and provincial forums established and decisions jointly made. <p><i>Through the WSSP:</i></p> <ul style="list-style-type: none"> • <i>Frameworks and vehicles for improved collaboration, integration and alignment among principal WS stakeholders established and supported.</i> • <i>Local Government supported and in possession of policies, guidelines, tools and information for effective planning and implementation of WS policies to sustain practical management.</i> 	<ul style="list-style-type: none"> • First WSSLG Strategic Retreat held • Sector priorities for 2003 established. • The following working groups established : <ul style="list-style-type: none"> ○ Water Information Network (WIN) ○ Sector Skills Committee
	Intervention 2001/02	Objectively Verifiable Indicators	Progress Achieved
3.	<p>Information sharing and communication between sector members, ensuring participation, understanding and awareness</p>	<ul style="list-style-type: none"> • WS and Sector Communications Strategy developed. • Free Basic Water policy and strategy communicated to all stakeholders and workshops held. 	<ul style="list-style-type: none"> • Communications manager post was created. • Achieved

4.	Intervention 2001/02	Objectively Verifiable Indicators	Progress Achieved
5.	National Regulatory framework developed, intervention policy, developed with strategy and guidelines in place and support plan implemented with longer term view of making regulator functional.	<ul style="list-style-type: none"> • Regulatory framework and intervention strategy developed. 	
6.	The National Water Services Information Systems, developed by DWAF is compatible with LG sector systems and accessible to role players.	<ul style="list-style-type: none"> • Authorities have access to DWAF's planning data and information and compatible system set up in DM's of EC and KZN (LIM in 2002/03). 	

Financial Year 2002/03

	Intervention 2002/03	Objectively Verifiable Indicators	Progress Achieved
1.	<p>White paper consulted, adopted and disseminated and institutional clarity achieved.</p> <p>Basic Household Sanitation Policy implemented and Free Basic Sanitation Policy determined.</p>	<ul style="list-style-type: none"> • Cabinet approval for Water Services White Paper. • Public and Stakeholder engagement and communication roll out. • Free Basic Sanitation Policy agreed and update sanitation policy. 	
2.	Sector collaboration strengthened, to ensure coordination and management of the water services business and services orientation widely understood and applied by sector role-players.	<ul style="list-style-type: none"> • Strategic framework for national WS sector strategy developed. • Development of 1st Order provincial strategies / MAAP's embarked upon. • Collaboration mechanisms, such as WSSLG and provincial forums established and decisions jointly made, with full participation of sector partners. • Proactive involvement of stakeholders in driving Water Services Sector. • Planning and implementation of programmatic responsibilities by DPLG and SALGA and water services specialists facilitating coordination. 	
3.	<p>Information sharing and communication between sector members, ensuring participation, understanding and awareness with particular focus on LG.</p> <p>Shared knowledge base, lesson learning and exchange facilitated within the sector.</p>	<ul style="list-style-type: none"> • DWAF WS Communications Strategy updated and Sector Communications Strategy embarked upon. • LG WS information network developed. • Communication and information programs held as planned per the WS Strategy. 	<ul style="list-style-type: none"> • Communications manager was appointed late in the year – no progress made.

	Intervention 2002/03	Objectively Verifiable Indicators	Progress Achieved
4.	<p>Integrated and effective WS sector planning culture, process and systems developed and maintained.</p> <p>WSDP's facilitated and monitored.</p>	<ul style="list-style-type: none"> • WSDP's developed as part of the IDP's: <ul style="list-style-type: none"> ○ 6 Metro WSDP's (100%) – 4 (66%) fully completed. ○ 75% - 35 of the 47 – DM WSDP's completed. All started. ○ 100% of IRDP nodes WSDP's completed. • Establishment of monitoring system embarked upon. • Planning system used by stakeholders, especially LG. • WS project selection. 	
5.	Policy for National Regulatory Framework finalised.	<ul style="list-style-type: none"> • Policy for National Regulatory Framework adopted. 	
6.	WS Information and Monitoring and Evaluation (M&E) developed by DWAF is compatible with LG sector systems and accessible to role players.	<ul style="list-style-type: none"> • Authorities have access to DWAF's planning data and information. • State of Water Sector Report. • M&E system in place and used. 	

Financial Year 2003/04

	Intervention 2003/04	Objectively Verifiable Indicators	Progress Achieved
1.	Ongoing legislative and policy review and alignment in the sector and integrated approach with WRM.	<ul style="list-style-type: none"> • Legislative amendments if required. • The relationship between WS and WRM institutions defined and role of WS in WRM clarified. • Sanitation policy updated. 	
2.	Sector collaboration strengthened, to ensure coordination and management of the water services business and services orientation widely understood and applied by sector role-players.	<ul style="list-style-type: none"> • WS sector partners contributing to common sector strategy. • Provincial strategies / MAAP's developed and implemented. • Collaboration mechanisms, such as WSSLG and provincial forums established and decisions jointly made, with full participation of sector partners. • Proactive involvement of stakeholders in driving WS Sector. • Planning and implementation of programmatic responsibilities by DPLG and SALGA and water services specialists facilitating coordination. 	<ul style="list-style-type: none"> • The Strategic Framework for Water Services was developed. Process used participatory with key stakeholders leading discussions. • Provincial strategy for Western Cape & KZN were developed. • WSSLG meetings were held on a quarterly basis. Sector Approach extended to all 6 new provinces. Provincial Facilitators appointed in all provinces. • Collaboration culture built within the Sector. The following Task Teams established: <ul style="list-style-type: none"> ○ Gender Mainstreaming ○ WIN, Skills Committee
3.	Coherent and coordinated communications and information dissemination achieved throughout the sector. Shared knowledge base, lesson learning and exchange facilitated within the sector. Improved communications and information sharing within DWAF WS, in particular between regions and national and with WRM.	<ul style="list-style-type: none"> • Sector WS Communications Strategy finalised for implementation. • Mechanisms, structures and processes for coordination of WS communication and lessons learning in place. • WS Sector Information Dissemination network functional. • Communication activities and campaigns targeting role-players held as planned. 	

	Intervention 2003/04	Objectively Verifiable Indicators	Progress Achieved
4.	<p>Integrated and effective WS sector planning culture, process and systems developed and maintained.</p> <p>(WSDP) facilitated and monitored.</p>	<ul style="list-style-type: none"> • Improved quality of 20 district WSDP's. • WSDP's developed as part of the IDP's in 47 DM's and 6 metor's. • IRDP culture in DWAF implemented • WS project selection. • Planning culture promoted and supported in LG. • WSDP monitoring system in place, and regular reports provided. 	
5.	<p>Implementation plan for establishment of a regulatory function within WS developed.</p> <p>Design of regulatory framework completed and approved.</p> <p>Performance monitoring system procedures and structures established.</p>	<ul style="list-style-type: none"> • Implementation plan developed. • Annual reports on performance WSI's produced. • Intervention strategy in place. 	
6.	<p>WS Information and M&E, developed by DWAF is compatible with LG sector systems and accessible to role players.</p>	<ul style="list-style-type: none"> • Well populated Macro Management Info System (MIS) Phase 2 developed and maintained. • Authorities have access to DWAF's planning data and information and compatable systems set up in DM's. • Access to reliable information on regular basis, such as WS web site. • M&E system in place and used. 	

THEME 2: SERVICE DELIVERY / KFA 10: PROVISION OF BASIC WATER SUPPLY AND SANITATION

Financial Year 2001/02

	Intervention 2001/02	Objectively Verifiable Indicators	Progress Achieved
1.	<p>Functional and sustainable water supply services and health and hygiene education awareness provided as planned in regional MAAP's (Prov. WSS Strategy).</p> <p>KZN:</p> <ul style="list-style-type: none"> • Consumers provided with sustainable water infrastructure to RDP standard. • M&E system set up. • Construction related employment created. <p>LIM:</p> <ul style="list-style-type: none"> • Water projects providing potable water with operational systems including cost recovery developed. • Construction related employment created. <p>EC:</p> <ul style="list-style-type: none"> • Existing water schemes extended and new schemes developed. • Construction related employment created. 	<ul style="list-style-type: none"> • 163 000 consumers provided with water. • 13 400 days of employment created. • 100 000 consumers provided with water. • 11 200 days of employment created. • 173 000 consumers provided with water. • 12 700 days of employment created. 	<ul style="list-style-type: none"> • 367 321 people served • 1 632 015 days. • 475 579 people served • 713 097 days • 128 043 people served • 1 102 941 days

	Intervention 2001/02	Objectively Verifiable Indicators	Progress Achieved
2.	<p>Functional and sustainable water supply services and health and hygiene education awareness provided as planned in regional MAAP's</p> <p>KZN:</p> <ul style="list-style-type: none"> • Households provided with sustainable sanitation. • Health and Hygiene education. <p>LIM:</p> <ul style="list-style-type: none"> • Households provided with sustainable sanitation. • Health and Hygiene education. <p>EC:</p> <ul style="list-style-type: none"> • Households provided with sustainable sanitation. • Health and Hygiene education. 	<ul style="list-style-type: none"> • 15 600 households provided with sanitation. • 226 000 people reached Health and Hygiene education. • 8 600 households provided with sanitation. • 134 000 people reached Health and Hygiene education. • 8 500 households provided with sanitation. • 207 000 people reached Health and Hygiene education. 	<ul style="list-style-type: none"> • 47 136 households served • 235 680 people reached. • 10 354 households served. • 51 767 people reached. • 3 669 households served. • 18 344 people reached.

Financial Year 2002/03

	Intervention 2002/03	Objectively Verifiable Indicators	Progress Achieved
1.	<p>Functional and sustainable water supply services and health and hygiene education awareness provided as planned in regional MAAP's (Prov. WSS Strategy).</p> <p>KZN:</p> <ul style="list-style-type: none"> • Consumers provided with sustainable water infrastructure to RDP standard. • M&E system set up. • Construction related employment created. <p>LIM:</p> <ul style="list-style-type: none"> • Water projects providing potable water with operational systems including cost recovery developed. • Construction related employment created. <p>EC:</p> <ul style="list-style-type: none"> • Existing water schemes extended and new schemes developed. • Construction related employment created. 	<ul style="list-style-type: none"> • 241 000 consumers provided with water. • 267 000 consumers provided with water. • 255 000 consumers provided with water. 	<ul style="list-style-type: none"> • 374 815 people served • 130 537 people served • 188 591 people served.
	Other Regions	121 000 consumers provided with water.	2 810 441 cumulative programme achievement
2.	<p>Functional and sustainable water supply services and health and hygiene education awareness provided as planned in regional MAAP's</p> <p>KZN:</p> <ul style="list-style-type: none"> • Households provided with sustainable sanitation. • Health and Hygiene education. <p>LIM:</p> <ul style="list-style-type: none"> • Households provided with sustainable sanitation. • Health and Hygiene education. <p>EC:</p> <ul style="list-style-type: none"> • Households provided with sustainable sanitation. • Health and Hygiene education. 	<ul style="list-style-type: none"> • 66 400 households provided with sanitation. • 240 000 people reached Health and Hygiene education. • 44 000 households provided with sanitation. • 226 000 people reached Health and Hygiene education. • 72 000 households provided with sanitation. • 300 000 people reached Health and Hygiene education. 	<ul style="list-style-type: none"> • 40 382 households served • 201 909 people reached. • 9 445 households served • 47 223 people reached. • 17 536 households served • 87 679 people reached.
	Other Regions	31 000 persons provided with sanitation.	

	Intervention 2002/03	Objectively Verifiable Indicators	Progress Achieved
	Municipalities supported to implement the FBW policy	<ul style="list-style-type: none"> • FBW implemented in 65% of municipalities. • PSU's for FBW active in all provinces. 	

Financial Year 2003/04

	Intervention 2003/04	Objectively Verifiable Indicators	Progress Achieved
1.	<p>Functional and sustainable water supply services and health and hygiene education awareness provided as planned in regional MAAP's (Prov. WSS Strategy).</p> <p>KZN:</p> <ul style="list-style-type: none"> • Consumers provided with sustainable water infrastructure to RDP standard. • M&E system set up. • Construction related employment created. <p>LIM:</p> <ul style="list-style-type: none"> • Water projects providing potable water with operational systems including cost recovery developed. • Construction related employment created. <p>EC:</p> <ul style="list-style-type: none"> • Existing water schemes extended and new schemes developed. • Construction related employment created. 	<ul style="list-style-type: none"> • 400 000 consumers provided with water. • 523 000 consumers provided with water. • 422 000 consumers provided with water. 	<ul style="list-style-type: none"> • 314 392 people served • 15 401 people served. • 62 869 people served.
2.	<p>Functional and sustainable water supply services and health and hygiene education awareness provided as planned in regional MAAP's</p> <p>KZN:</p> <ul style="list-style-type: none"> • Households provided with sustainable sanitation. • Health and Hygiene education. <p>LIM:</p> <ul style="list-style-type: none"> • Households provided with sustainable sanitation. • Health and Hygiene education. <p>EC:</p> <ul style="list-style-type: none"> • Households provided with sustainable sanitation. • Health and Hygiene education. 	<ul style="list-style-type: none"> • 100 400 households provided with sanitation. • 344 000 people reached Health and Hygiene education. • 44 000 households provided with sanitation. • 400 000 people reached Health and Hygiene education. • 117 000 households provided with sanitation. • 383 000 people reached Health and Hygiene education. 	
	Other Regions	31 000 persons provided with sanitation.	
	Municipalities supported to implement the Free Basic Water Policy	<ul style="list-style-type: none"> • Free Basic Water implemented in 70% of municipalities. • PSU's for FBW active in all provinces. 	

THEME 3: INSTITUTIONAL DEVELOPMENT / KFA 12: EFFECTIVE WATER SERVICES INSTITUTIONS (WSIs)

Financial Year 2001/02

	Intervention 2001/02	Objectively Verifiable Indicators	Progress Achieved
1.	Effective management and delivery of water services through Masibambane within the sector improved through increased capacity amongst local government and civil society partners being built.	<ul style="list-style-type: none"> • Water services specialists in place within DPLG and SALGA; • Regular attendance at, and participation in, water service coordination structures and task teams (incl MSB MCC). Planning and implementation of programmatic responsibilities by DPLG and SALGA; • NGO's and CBO's participating in policy developments and programmatic activities; • EC consultants providing improved services. 	<ul style="list-style-type: none"> • The position in SALGA has been filled, but the two positions in DPLG are proving difficult to fill. • Achieved. Over time the participation has increased. SALGA co-chair the MSB MCC meeting. • The NGO & CBO participation is still relatively low. • Support to the region has been improved.
2.	Capacity of DWAF to coordinate and manage the programmatic activities of MSB strengthened at national and regional levels.	<ul style="list-style-type: none"> • Programme management arrangements in place and functioning effectively; • Coordination of roles and responsibilities between national and regional DWAF in place; • Regional MAAP's successfully implemented. 	
3.	Capacity of water services authorities to regulate the delivery of water services built.	<ul style="list-style-type: none"> • LG planning to function as WSA complete in 50% of areas served. • 50% of WSA's in LIM, EC, KZN have started developing WSDP's. 	<p>LIM: "First order" WSA BP's approved and ready for implementation in 3 DM's (50%) KZN: ISWIP BP's (similar content to WSACdBP's in process of implementation in 9 of the 10 DM EC: WSACdBP in place in 2 of the 6 DM's and planning started in remaining 4 DM areas.</p> <p>All DM's have started developing WSDP's</p>

	Intervention 2001/02	Objectively Verifiable Indicators	Progress Achieved
4.	Capacity of WSP's to provide effective and sustainable water services built.	<ul style="list-style-type: none"> • WSA planning for WSP's to be functional in 80% of areas served; • Project business plans include KPI's for establishing WSP's. 	<p>Majority of schemes operating with "inherited provider arrangements". <i>(could include percentage functioning – could show percentage improvement as begin to extend coverage)</i></p> <p>Base data of these schemes has improved through the WSDP planning processes and the functional/financial assessments conducted where DWAF operating as WSP.</p> <p>Decision making on long term provision arrangements to be addressed through the S78 assessment process and this process will only begin once the powers and functions between Category C (DM) and B (LM) municipalities finalised.</p>

Financial Year 2002/03

	Intervention 2002/03	Objectively Verifiable Indicators	Progress Achieved
1.	Moved to Sector Collaboration in Theme 1 and Civil Society in Cross Cutting.		
2.	Capacity of DWAF to coordinate and manage the programmatic activities of MSB strengthened at national and regional levels.	<ul style="list-style-type: none"> • Programme management arrangements in place and functioning effectively; • Programme successfully implemented • Redesign of DWAF to fulfill long term goal complete. 	<ul style="list-style-type: none"> • PMU appointed and assisting with co-ordination activities. • Achieved • Restructuring undertaken.
3.	<p>Capacity of water services authorities to regulate the delivery of water services built.</p> <p>Through the WSSP:</p> <ul style="list-style-type: none"> • LG in possession of and adopting by-laws and regulations. 	<ul style="list-style-type: none"> • LG has made substantial progress in meeting minimum requirements as WSA's in 50% of areas served. • 100% of DM's in LIM, EC, KZN have started developing WSDP's. • By-laws and regulations promulgated in all WSA's in the selected provinces by EoP. 	<p>LIM "First order" BPs approved and in process of implementation in all DMs that retained WSA function. Waterberg DM lost WSA function and function given to 6 LMs in district area – identification of support needs in process Vhembe WSA produced draft comprehensive WSACBP</p> <p>KZN <i>ISWIP BPs in process of implementation in all 10 DMs (WSAs). 3 LMs were authorised and identification of support needs in process</i></p> <p>E Cape <i>WSACBPs in process of implementation in 5 DMs that retained WSA function. Cacadu DM lost WSA function and given to 9 LMs.in district area</i> <i>Identification of support needs in process</i></p> <p>LIM <i>3 DMs (out of 6) council approved WSDPs</i> <i>3 DMs (out of 6) have developed first draft</i></p> <p>KZN <i>6 DMs (out of 10) have council approved WSDPs</i> <i>4 DMs have draft WSDPs</i></p> <p>EC <i>2 DMs (out of 6) Council approved WSDPs</i> <i>4 DMs developed first draft</i></p>

	Intervention 2002/03	Objectively Verifiable Indicators	Progress Achieved
4.	<p>Capacity of WSP's to provide effective and sustainable water services built.</p> <p>Through the WSSP:</p> <ul style="list-style-type: none"> Sustainable & replicable provider-customer partnerships established in selected areas and customer awareness and rights entrenched and lessons learnt shared. 	<ul style="list-style-type: none"> WSA has made substantial progress towards establishing an operational WSP function in 80% of the areas served. Project business plans include KPI's for establishing WSP's. Provider-customer partnerships established in selected WSP areas. Viable integration and cross-pollination of experiences across municipalities. 	<p>Decision on Powers and Functions announced Jan 03 with implementation date set for June 03. All WSAs in 3 provinces have concentrated on setting up interim service provider arrangements with previously authorised WSAs that no longer have function.</p>

Financial Year 2003/04

	Intervention 2003/04	Objectively Verifiable Indicators	Progress Achieved
1.	Moved to Sector Collaboration in Theme 1 and Civil Society in Cross Cutting.		
2.	Capacity of DWAF to coordinate and manage the programmatic activities of MSB strengthened at national and regional levels.	<ul style="list-style-type: none"> Programme management arrangements in place and functioning effectively; Programme successfully implemented DWAF water services restructuring to fulfil long term custodianship role. 	<ul style="list-style-type: none"> Revised consortia structure implemented to enhance support & coordination. Achieved. Restructuring continues with roles & responsibilities being defined.

	Intervention 2003/04	Objectively Verifiable Indicators	Progress Achieved
3.	<p>Capacity of water services authorities to regulate the delivery of water services built.</p> <p>Through the WSSP:</p> <ul style="list-style-type: none"> • LG in possession of and adopting by-laws and regulations. 	<ul style="list-style-type: none"> • LG meeting minimum requirements as WSA's in 50% of areas served. • 100% of DM's in LIM, EC, KZN have WSDP's council approved. • By-laws and regulations promulgated in all WSA's in the selected provinces by EoP. 	<p><u>WSDPs:</u></p> <p>Limpopo</p> <ul style="list-style-type: none"> • 83% of municipalities have WSDPs approved by Council, and • 17% of municipalities have draft WSDPs. <p>EC</p> <ul style="list-style-type: none"> • 80% of municipalities have WSDPs approved by Council, and • 20% of municipalities have draft WSDPs. <p>KZN</p> <ul style="list-style-type: none"> • 67% of municipalities have WSDPs approved by Council, and • 33% of municipalities have draft WSDPs <hr/> <p>Bylaws</p> <p>Limpopo:</p> <ul style="list-style-type: none"> • 33% of municipalities have bylaws approved by Council; and • 67% of municipalities have draft bylaws. <p>EC:</p> <ul style="list-style-type: none"> • 20% of municipalities have bylaws approved by Council; • 60% of municipalities have draft bylaws; and • 20% have not drafted bylaws. <p>KZN:</p> <ul style="list-style-type: none"> • 50% of municipalities have bylaws approved by Council; and • 50% of municipalities have draft bylaws.

	Intervention 2003/04	Objectively Verifiable Indicators	Progress Achieved
4.	<p>Capacity of WSP's to provide effective and sustainable water services built.</p> <p>Through the WSSP:</p> <ul style="list-style-type: none"> Sustainable & replicable provider-customer partnerships established in selected areas and customer awareness and rights entrenched and lessons learnt shared. 	<ul style="list-style-type: none"> WSA has established an operational WSP function in 80% of the areas served. Project business plans include KPI's for establishing WSP's. Provider-customer partnerships established in selected WSP areas. Viable integration and cross-pollination of experiences across municipalities. 	<p><u>WSP Arrangements:</u></p> <p>Limpopo:</p> <ul style="list-style-type: none"> 33% of municipalities have formalised WSP arrangements; and 67% of municipalities have started negotiations on WSP arrangements. <p>Eastern Cape:</p> <ul style="list-style-type: none"> 40% of municipalities have formalised WSP arrangements; 40% of municipalities have started negotiations on WSP arrangements; and 20% of municipalities have made no progress with WSP arrangements. <p>KZN:</p> <ul style="list-style-type: none"> 44% of municipalities have formalised WSP arrangements; and 56% of municipalities have started negotiations on WSP arrangements. <p><u>Monitoring WSP compliance:</u></p> <p>Limpopo:</p> <ul style="list-style-type: none"> 0% of municipalities monitor the WSP for compliance; 83% of municipalities are developing a WSP monitoring system; and 17% of municipalities have made no progress in developing a WSP monitoring system. <p>Eastern Cape:</p> <ul style="list-style-type: none"> 20% of municipalities monitor the WSP for compliance; 40% of municipalities are developing a WSP monitoring system; and 40% of municipalities have made no progress in developing a monitoring system.

	Intervention 2003/04	Objectively Verifiable Indicators	Progress Achieved
4.			<p>KZN:</p> <ul style="list-style-type: none"> • 33% of municipalities monitor the WSP for compliance; and • 67% of municipalities are developing a WSP monitoring system. <p><u>Reliability of supply:</u> Average number of rural users enjoying a reliable supply with few interruptions (this is not a weighted average but merely the sum of the estimates provided by each municipality divided by the number of municipalities, i.e. an indication of how well the municipalities are supplying on average):</p> <ul style="list-style-type: none"> • Limpopo 32%; • Eastern Cape 40%; and • KZN 27%

THEME : TRANSFERS / KFA 13

Financial Year 2001/02

	Intervention 2001/02	Objectively Verifiable Indicators	Progress Achieved
1.	Develop a strategic framework for decentralisation.	<ul style="list-style-type: none"> • Framework to guide decentralisation. 	
2.	Audit and evaluation of schemes.	<ul style="list-style-type: none"> • Schemes identified and status determined. 	
3.	Prepare for transfer of schemes to LG.	<ul style="list-style-type: none"> • IDTC & Provincial Task Teams established and developing plans with stakeholders. 	
4.	N/A Under Theme 3 Output 3: WSP support.	<ul style="list-style-type: none"> • N/A Under Theme 3 Output 3: WSP support. 	

Financial Year 2002/03

	Intervention 2002/03	Objectively Verifiable Indicators	Progress Achieved
1	Enabling environment for transfers created	<ul style="list-style-type: none"> • Agreement with Bargaining Chamber re: issues such as voluntary severance packages, pensions, etc • Acceptance of policy Framework by Management, Minister and Cabinet • Communications plan implemented 	
2	DWAF WS schemes transferred to appropriate WS institutions	<ul style="list-style-type: none"> • Implementation, of an appropriate reward / incentive scheme for those munics who take early transfer schemes • 10% of the 334 schemes transferred through regional specific strategies 	
3	The continuity, effectiveness & efficiency of services ensured through support to receiving WSI's	<ul style="list-style-type: none"> • Support provided through cooperation with DPLG, SALGA, National Treasury & Provincial counterparts • Ongoing support to local gov to complete their WSDP's as iLIMut to their operating plans budgets and IDP's • Development and implementation of an appropriate billing system to support munics with cost recovery systems • Improved cost recovery by 10% 	
4	The efficiency and effectiveness of WS Providers facilitated and strengthened. See also Theme 3 Output 3 WSP Support	<ul style="list-style-type: none"> • Functional and Financial assessment of all schemes completed. • Strategy developed to increase revenue and decrease expenditure of DWAF run schemes & implemented 50 of selected schemes. 	

Financial Year 2003/04

	Intervention 2003/04	Objectively Verifiable Indicators	Progress Achieved
1.	Enabling environment for transfers created.	<ul style="list-style-type: none"> • Fully inclusive transfer policy reviewed & amended as necessary. • DORA Framework 2004-5 on Water Services operating subsidy agreed. • HR policy reviewed and amended as necessary. 	
2.	DWAF WS schemes transferred to appropriate WS institutions.	<ul style="list-style-type: none"> • Transfer plan updated. • 30% of the 334 schemes transferred. • Transfers negotiated and finalised with receiving institutions. 	
3.	The continuity, effectiveness & efficiency of services ensured through support to receiving WSI's.	<ul style="list-style-type: none"> • Appropriate management and billing systems implemented. • Municipalities capacitated to take transfer of schemes. • Monitoring and cost recovery on schemes transferred to WSI's and ongoing debtor control on schemes operated by DWAF in terms of WSP agreements 	
4.	The efficiency and effectiveness of WS Providers facilitated and strengthened. See also Theme 3 Output 3 WSP Support.	<ul style="list-style-type: none"> • Implement rehabilitation on 90 schemes (30%) targeted for transfer during the 2003/04 financial year in terms of WSP agreements for DWAF operated schemes. 	

THEME : CROSS-CUTTING

Financial Year 2001/02

	Intervention 2001/02	Objectively Verifiable Indicators	Progress Achieved
1.	Degradation of the environment through water and sanitation delivery and use protected so as to ensure a healthy, clean environment for consumers that is environmentally sustainable.	<ul style="list-style-type: none"> • Environmental strategy management tools completed. • EES Strategy Implemented. 	
2.	Awareness and application of appropriate technology in WS.	<ul style="list-style-type: none"> • Policy and strategy recommendations developed. 	

	Intervention 2001/02	Objectively Verifiable Indicators	Progress Achieved
3.	Enabling environment for gender mainstreaming created within DWAF and other organisations involved in water and sanitation service delivery and greater participation of women in decision making achieved.	<ul style="list-style-type: none"> • Establishment of a gender unit within DWAF. • Participation and coordination of CGE, SLAGA, CD:HR, NCWSTI • Training Education. • 30% women in PSC's 	
4.	Effective management and delivery of water services through MSB with the sector improved through increased capacity amongst civil society partners being built.	<ul style="list-style-type: none"> • NGO's and CBO's participating in policy development and programmatic activities. 	

Financial Year 2002/03

	Intervention 2002/03	Objectively Verifiable Indicators	Progress Achieved
1.	Degradation of the environment through water and sanitation delivery and use protected so as to ensure a healthy, clean environment for consumers that is environmentally sustainable.	<ul style="list-style-type: none"> • EES Strategy Implemented. • Capacity built at local level to ensure sustainable management and monitoring of the environment ito water and sanitation. • Decrease in water born diseases. 	
2.	Appropriate technology policy recommendations fed into the WS White Paper and updated Sanitation Policy and its application promoted through implementation strategies.	<ul style="list-style-type: none"> • WS White Paper promotes appropriate technology. • Policies, guidelines, procedures and funding criteria for AT developed and introduced into all WS infrastructure delivery programmes in the sector. 	
3.	Enabling environment for gender mainstreaming created within DWAF and other organisations involved in water and sanitation service delivery and greater participation of women in decision making achieved.	<ul style="list-style-type: none"> • DWAF advocacy and training programme for managers and staff implemented. • Tools and indicators developed. • % of women on decision making structures. • Job creation statistics. 	<ul style="list-style-type: none"> • Awareness raising and training workshops conducted in EC, LIM, KZN./ NW • Training of trainers programme initiated for sector staff from KZN, LIM, EC, NW, NC • Advocacy and promotions materials developed and implemented • 50% female representation achieved in decision making structures in project
4.	Civil Society partners from community based to NGO's supported to meaningfully participate in the WS Sector and its programmes with aim of entrenching democratic and people centred development.	<ul style="list-style-type: none"> • NGO's and CBO's participating in WS policy development and programme activities. 	<ul style="list-style-type: none"> • A position paper on CSO participation in the WS sector as well as strategy finalised for implementation • Steering committees established at national, EC, LIM and KZN and business plans for implementation of programme approved.

Financial Year 2003/04

	Intervention 2003/04	Objectively Verifiable Indicators	Progress Achieved
1.	Degradation of the environment through water and sanitation delivery and use protected so as to ensure a healthy, clean environment for consumers that is environmentally sustainable.	<ul style="list-style-type: none"> • Updated EES Strategy Implemented. • Capacity strengthened at local level to ensure sustainable management and monitoring of the environment into water and sanitation. • Decrease in water born diseases. 	
2.	Sector players supported and strengthened to adopt and apply appropriate technology best practice.	<ul style="list-style-type: none"> • Policies promote appropriate technology. • AT to ensure affordability and sustainability applied in WS infrastructure programmes. 	
3.	Enabling environment for gender mainstreaming created within DWAF and other organisations involved in water and sanitation service delivery and greater participation of women in decision making achieved.	<ul style="list-style-type: none"> • Gender policies implemented. • Gender impact indicators for implementation developed and applied. 	<ul style="list-style-type: none"> • Workshop to create awareness on DWAF gender policy conducted • Gender units established in EC, LIM, NW and capacity being built • Indicators for gender impacts being developed • Awareness raising and training for all levels in the sector is ongoing • Strategy for mainstreaming in the sector being developed.
4.	Civil Society partners from community based to NGO's supported to meaningfully participate in the WS Sector and its programmes with aim of entrenching democratic and people centred development.	<ul style="list-style-type: none"> • NGO's and CBO's participating in WS policy development and programme activities. 	<ul style="list-style-type: none"> • A skills development programme is being implemented nationally to build capacity of CSO for accredited training provision • A marketing strategy for CSO being finalised • An environment for dialogue between CSO and SALGA created • A categorised database of CSO produced in LIM. A similar initiative is being undertaken in EC and national office. • A rates only tender for engagement of CSO by municipalities is being piloted in KZN with a view to replication in other regions • KPIs developed to monitor CSO participation in the programme

THEME : MILLENIUM TARGETS

Financial Year 2001/02

	Intervention 2001/02	Objectively Verifiable Indicators	Progress Achieved
1.	Not Applicable.	• Not Applicable.	
2.	Not Applicable.	• Not Applicable.	
3.	Not Applicable.	• Not Applicable.	
4.	Not Applicable.	• Not Applicable.	

Financial Year 2002/03

	Intervention 2002/03	Objectively Verifiable Indicators	Progress Achieved
1.	Knowledge, experiences and lessons shared with other developing countries especially in Africa.	<ul style="list-style-type: none"> • Development of a DWAF position on water services and water resource management indigenous knowledge in Africa started. • Participation and support information sharing workshops and networks in Africa held. 	
2.	Proactive engagement with and provision of expertise to NEPAD and SADC water services initiatives.	<ul style="list-style-type: none"> • Support to the SAAWU initiative for twinning of Public Sector Utilities provided. • Participation in the World Bank development of performance indicators project in Africa. 	
3.	Cooperation with and participation in international WS forums strengthened.	<ul style="list-style-type: none"> • Staff engagement in International WS forums supported. 	
4.	Increased political commitment and resources for sanitation in Africa achieved.	<ul style="list-style-type: none"> • Impact of Afrisan Conference hosted in SA sustained. • Impact of WASH campaign sustained. 	

Financial Year 2003/04

	Intervention 2002/03	Objectively Verifiable Indicators	Progress Achieved
1.	Knowledge, experiences and lessons shared with other developing countries especially in Africa.	<ul style="list-style-type: none"> • Development of a DWAF position on water services and water resource management indigenous knowledge in Africa. Complete. • Participation and support information sharing workshops and networks in Africa held. 	
2.	Proactive engagement with and provision of expertise to NEPAD and SADC water services initiatives.	<ul style="list-style-type: none"> • Support to the SAAWU initiative for twinning of Public Sector Utilities provided. • Support on corporate governance for public institutions in Lesotho provided. • Participation in the World Bank development of performance indicators project in Africa. 	
3.	Cooperation with and participation in international WS forums strengthened.	<ul style="list-style-type: none"> • Staff engagement in International WS forums supported. 	
4.	Increased political commitment and resources for sanitation in Africa achieved.	<ul style="list-style-type: none"> • Impact of Afrisan Conference hosted in SA sustained. • Impact of WASH campaign sustained. 	